

Workman Nydegger

1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Phone: (801) 533-9800
Fax: (801) 328-1707

RECEIVED
CENTRAL FAX CENTER

MAY 11 2006

FAX TRANSMISSION COVER SHEET

Date: May 11, 2006
To: United States Patent and Trademark Office
Fax: 571-273-8300
Phone: 571-272-1000
Re: U.S. Patent Application Serial No: 10/693,795
Our Docket No.: 15436.247.1.1
Sender: Tobi A. Terry, Paralegal to Eric L. Maschoff

YOU SHOULD RECEIVE 11 PAGE(S), INCLUDING THIS COVER SHEET. IF YOU DO
NOT RECEIVE ALL THE PAGES, PLEASE CALL 801-533-9800

Please see attached documents

PRIVILEGED AND CONFIDENTIAL

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS ATTORNEY PRIVILEGED AND CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

MAY 11 2006

WORKMAN | NYDEGGER

INTELLECTUAL PROPERTY ATTORNEYS

1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84111
TELEPHONE: (801) 533-9800
FAX: (801) 328-1707
WEBSITE: HTTP://WWW.WNLAW.COMRICK D. NYDEGGER
BRENT P. LOSIMER
THOMAS R. VUKSINICK
LARRY R. LAYCOCK
JONATHAN W. RICHARDS
DAVID R. WRIGHT
JOHN C. STRINGHAM
JOHN M. GUYNN
CHARLES L. ROBERTS
DANA L. TANGREN
ERIC L. MASCHOFF
CHARLES J. VEVERKA
ROBYN L. PHILLIPS
RICHARD C. GILMORE
STERLING A. BRENNAN
R. BURNS ISHARSEN
DAVID R. TODD
DAVID B. DELLENBACH
L. DAVID GRIFFIN
ADRIAN J. LEE
FRANZ D. ROY
CARL T. REED

FILED VIA FACSIMILE

PATENT APPLICATION
Docket No. 15436.247.1.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Robert Shih et al.

Serial No.

10/693,795

Filed:

October 24, 2003

Confirmation No.:

9310

For:

PHOTONIC DEVICE PACKAGE WITH
ALIGNED LENS CAP

Customer No:

022913

) Art Unit
) 2877R. PARRISH FREEMAN, JR.
PETER F. MALEN, JR.
L. REX SEARS, PH.D.
WILLIAM R. RICHTER
ROBERT E. AYCOCK
JENS C. JENKINS
MICHAEL B. DODD
BRETT I. JOHNSON
KEVIN W. STINGER
RYAN D. BENSON
SARA D. JONES
TIGHE KELLER
JANNA L. JENSEN
MATTHEW D. TODD
J. LAVAR OLDHAM
MICHAEL J. FRODSHAM
MATTHEW A. BARLOW
WESLEY C. ROSANDER
ANDREW S. HANSEN
CHAD E. NYDEGGER
JOSEPH G. PIA
CLINTON E. DUKE
RYAN N. FARR
JAMES B. BELSHE
KIRK R. HARRIS
MICHAEL M. BALLARD
DAVID A. JONES
SHANE K. JENSEN
JONATHAN M. BENNIS, PH.D.
SCOTT A. WOODBURY
JOHN T. GADD
MARK W. FORD
AARON M. SMITHTRANSMITTAL FOR RESUBMISSION OF
REQUEST FOR CORRECTED FILING RECEIPTCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Resubmitted herewith for filing is a Request for Corrected Filing Receipt.

The above document was originally submitted on June 9, 2005 and was received by the USPTO as evidenced by the attached copy of the Auto-Reply Facsimile Transmission.

VERNON R. RICE §
OF COUNSEL* Admitted only in California
§ Admitted only in Virginia

VIA FACSIMILE

PATENT APPLICATION
Docket No. 15436.247.1.1

It is respectfully requested that the USPTO immediately issue a Corrected Filing Receipt showing the correct filing date of October 24, 2003.

Dated this 11th day of May 2006.

Respectfully submitted,



Eric L. Maschoff
Attorney for Applicant
Registration No. 36,596
Customer No. 022913

TAT0000001760V001

TO: Auto-reply fax to 8013281707

6/10/2004 5:44 PM PAGE 1/001 Fax Server
COMPANY:

Auto-Reply Facsimile Transmission



TO: Fax Sender at 8013281707

Fax Information

Date Received:

Total Pages:

6/10/2004 5:44:45 PM [Eastern Daylight Time]
5 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received
Cover
Page
=====>

JUN-10-2004 THU 03:42 PM WORKMAN NYDEGGER	FAX NO. 8013281707	P. 01/05
WORKMAN NYDEGGER		
1000 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111 Phone: (801) 533-9800 Fax: (801) 328-1707		
FAX TRANSMISSION COVER SHEET		
Date:	June 9, 2004	
To:	Office of Initial Patent Examination - Filing Receipt Corrections United States Patent & Trademark Office	
Fax:	(703) 746-9193	
Phone:		
From:	R. Burns (Israelson)	
Re:	Our File No. 15434.247.1.1 Serial No.: 10460,795 Filed: 10/24/2004	
YOU SHOULD RECEIVE 5 PAGE(S), INCLUDING THIS COVER SHEET. IF YOU DO NOT RECEIVE ALL THE PAGES, PLEASE CALL 801-533-9800		
Comments:		
Please see attached.		
NOTICE OF RECEIPT		
<p>THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS UNCLASSIFIED AND CONFIDENTIAL. IT IS NOT TO BE DISCLOSED OR DISTRIBUTED OUTSIDE THE OFFICE OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. IF YOU ARE NOT THE ADDRESSEE OF THIS MESSAGE, YOU SHOULD NOT DISCLOSE OR DISTRIBUTIT. IF YOU ARE THE ADDRESSEE OF THIS MESSAGE, YOU SHOULD NOT DISCLOSE OR DISTRIBUTIT TO ANY OTHER PERSON. IF YOU ARE THE ADDRESSEE OF THIS MESSAGE, YOU SHOULD NOT DISCLOSE OR DISTRIBUTIT TO ANY OTHER PERSON. IF YOU ARE THE ADDRESSEE OF THIS MESSAGE, YOU SHOULD NOT DISCLOSE OR DISTRIBUTIT TO ANY OTHER PERSON.</p>		
PAGE 01 * RCVD AT 03:42 PM Eastern Daylight Time * SVR:USPTO-EFXXF-2/9 * DNIS:2738300 * CSID:8013281707 * DURATION (mm:ss):05-04		

BEST AVAILABLE COPY

RICK D. NYDEGGER
BRENT P. JAMISON
THOMAS R. VUKSINICK
LARRY R. LAYCOCK
JONATHAN W. RICHARDS
DAVID R. WRIGHT
JOHN C. STRINGHAM
JOHN M. GUYNN
CHARLES L. ROBERTY
DANA L. TANGREN
ERIC L. MASCHIOFF
CHARLES J. VIVIANO
ROBYN L. PHILLIPS
RICHARD C. GILMOTTF*
STEFAN U. A. BRENNAN
R. BURNIS KIMBLESEN
DAVID R. TOLSON
GREGORY M. TAYLOR
DAVID R. DELENKHAUS
L. DAVID GRIPPIN
ADRIAN J. I.F.F.
FRASER D. ROY
CARL T. BEED



R. PARRISH FREEMAN, JR.
PETER F. MALEN, JR.
L. REX SEARS, P.I.D.
WILLIAM R. RICHTER
ERIC M. KAMERATHI
ROBERT E. AYCOCK
JENS C. JENKINS
KEVIN W. STINGER
WILLIAM J. A'HAY
MICHAEL B. DODD
RYAN D. BENSON
SARA D. JONES
TIGI KELLER
JANNA I. JENKIN
MATTHEW D. TODD
J. LAYAR OLDHAM
MICHAEL J. FROESIMAN
JOSEPH L. KRUPA
BRETT A. HANSEN
BRETT I. JOHNSON
MATTHEW A. BARLOW
WESLEY C. ROSANDER
ANDREW S. HANSEN
CHAD E. NYDEGGER
JOSEPH G. PIA
CLINTON E. DUKE
DAVID J. LORENZ †
RYAN N. FAIR †
LAMES B. BELSHE

WORKMAN | NYDEGGER
INTELLECTUAL PROPERTY ATTORNEYS

1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPIF
SALT LAKE CITY, UTAH 84111
TELEPHONE: (801) 533-9800
FAX: (801) 328-1707
WEBSITE: [HTTP://WWW.WNLAW.COM](http://www.wnlaw.com)

PATENT APPLICATION
Docket No. 15436.247.1.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	
	Robert Shih, et al.)	
Serial No.:	10/693,795)	Art Unit
Confirmation No.:	9310)	2877
Filing Date:	October 24, 2003)	
For:	PHOTONIC DEVICE PACKAGE WITH)	
	ALIGNED LENS CAP)	

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the official Filing Receipt received from the United States Patent and Trademark Office in the above-identified application for which issuance of a corrected filing receipt is respectfully requested.

In the Filing Date section of the filing receipt:

Please replace "01/24/2003" with - 10/24/2003 -.

The correction is due to no error by applicant and thus no fee is required.

05/11/2006 12:03 FAX 8013281707

WORKMAN NYDEGGER

006/011

BEST AVAILABLE COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/893,795	04/24/2003 10/24/2003	2877	770	15436.247.1.1	8	19	3

CONFIRMATION NO. 9310

022913

WORKMAN NYDEGGER (F/K/A WORKMAN NYDEGGER & SEELEY)
 60 EAST SOUTH TEMPLE
 1000 EAGLE GATE TOWER
 SALT LAKE CITY, UT 84111

FILING RECEIPT



Date Mailed: 06/04/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Robert Shih, Residence Not Provided;
 Chun Lei, Residence Not Provided;
 John Chen, Residence Not Provided;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/422,069 10/28/2002

Foreign Applications

If Required, Foreign Filing License Granted: 06/04/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Photonic device package with aligned lens cap

DOCKETED
 Action _____
 Response Due _____
 By RL On 6/8/04
 Atty mas File # _____

Preliminary Class

356

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)

Applicant(s): Robert Shih, et al.

Docket No.

15436.247.1.1

Application No.

10/693,795

Filing Date

10/24/2003

Examiner

Unknown

Group Art Unit

2877

Invention: PHOTONIC DEVICE PACKAGE WITH ALIGNED LENS CAP

I hereby certify that this _____ **Request for Corrected Filing Receipt**

(Identify type of correspondence)

is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. **(703) 746-9195**)on **June 10**, 2004

(Date)

Beth L. Haslam

(Typed or Printed Name of Person Signing Certificate)

(Signature)

Note: Each paper must have its own certificate of mailing.

TRANSACTION REPORT

P. 01

JUN-10-2004 THU 03:43 PM

DATE	START	RECEIVER	TX TIME	PAGES	TYPE	NOTE	M#	DP
JUN-10	03:41 PM	##5158317037489195#	1'21"	5	SEND	OK	853	

TOTAL : 1M 21S PAGES: 5

WORKMAN | NYDEGGER

1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Phone: (801) 533-9800
Fax: (801) 328-1707

FAX TRANSMISSION COVER SHEET

Date: June 9, 2004
To: Office of Initial Patent Examination - Filing Receipt Corrections
United States Patent & Trademark Office
Fax: (703) 746-9195
Phone:
From: R. Burns Israelsen
Re: Our File No. 15436.247.1.1
Serial No.: 10/693,795
Filed: 10/24/2004

BEST AVAILABLE COPY

YOU SHOULD RECEIVE 5 PAGE(S) INCLUDING THE FOLLOWING INFORMATION

FILED VIA FACSIMILE

MAY 11 2006
PATENT APPLICATION
Docket No. 15436.247.1.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Robert Shih et al.

Serial No.

10/693,795

Filed:

October 24, 2003

Confirmation No.:

9310

For:

PHOTONIC DEVICE PACKAGE WITH
ALIGNED LENS CAP

Customer No:

022913

) Art Unit
) 2877CERTIFICATE OF FACSIMILE TRANSMISSIONCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

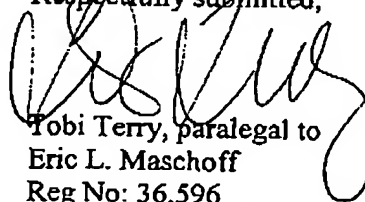
Sir:

I hereby certify that the following documents are being transmitted via facsimile at facsimile number (703) 872-9306 to the Patent and Trademark Office on the date shown below.

- Transmittal for Resubmitted Request for Corrected Filing Receipt (2 pgs); and
- Copy of Request for Corrected Filing Receipt as originally submitted on June 9, 2004 (7 pgs).

Dated this 11th of May, 2006.

Respectfully submitted,

Tobi Terry, paralegal to
Eric L. Maschoff
Reg No: 36,596
Customer No. 022913